

E-mail: chris@nwr.com

1 **Observed and Modeled Mountain Waves from the Surface to the**  
2 **Mesosphere Near the Drake Passage**

3 <sup>1</sup>Christopher G. Kruse \*, <sup>1</sup>M. Joan. Alexander, <sup>2</sup>Lars Hoffmann, <sup>3</sup>Annelize van Niekerk, <sup>4</sup>Inna  
4 Polichtchouk, <sup>5</sup>Julio T. Bacmeister, <sup>1</sup>Laura Holt, <sup>6</sup>Riwal Plougonven, <sup>7</sup>Petr Šácha, <sup>8</sup>Corwin  
5 Wright, <sup>9</sup>Kaoru Sato, <sup>10</sup>Ryosuke Shibuya, <sup>11</sup>Sonja Gisinger, <sup>2</sup>Manfred Ern, <sup>2</sup>Catrin Meyer, <sup>2</sup>Olaf  
6 Stein

7 <sup>1</sup>NorthWest Research Associates, Boulder, Colorado, USA

8 <sup>2</sup>Forschungszentrum Jülich, Jülich Supercomputing Centre, Jülich, Germany

9 <sup>3</sup>Met Office, Exeter, UK

10 <sup>4</sup>ECMWF, Reading, UK

11 <sup>5</sup>Climate and Global Dynamics Laboratory, NCAR, Boulder, Colorado, USA

12 <sup>6</sup>Laboratoire de Météorologie Dynamique, Ecole Polytechnique, Palaiseau, France

13 <sup>7</sup>Earth Sciences, Department of Applied Physics, Universidade de Vigo, Ourense, Spain

14 <sup>8</sup>Centre for Space, Atmospheric and Oceanic Science, University of Bath, Bath, UK

15 <sup>9</sup>Department of Earth and Planetary Science, University of Tokyo, Tokyo, Japan

16 <sup>10</sup>Atmosphere and Ocean Research Institute, University of Tokyo, Tokyo, Japan

17 <sup>11</sup>Institute of Atmospheric Physics, Deutsches Zentrum für Luft- und Raumfahrt,

18 Oberpfaffenhofen, Germany

19 \*Corresponding author address: NorthWest Research Associates, Boulder, Colorado

21 Four state-of-the-science numerical weather prediction (NWP) models were  
22 used to perform mountain wave- (MW) resolving hindcasts over the Drake  
23 Passage of a 10-day period with numerous observed MW cases in 2010. The  
24 Integrated Forecast System (IFS) and the Icosahedral Nonhydrostatic (ICON)  
25 were run in their current operational configurations at  $\Delta x \approx 9$  and 13 km, glob-  
26 ally. The Weather Research and Forecasting (WRF) model and the Met Office  
27 Unified Model (UM) were configured with a  $\Delta x = 3$  km regional domain. All  
28 models were ~~were~~ deep, with domain tops near 1 Pa ( $z \approx 80$  km). These  
29 deep domains allowed *quantitative* validation against Atmospheric InfRared  
30 Sounder (AIRS) observations, accounting for observation time, viewing ge-  
31 ometry, and radiative transfer.  
32 All models reproduced observed middle-atmosphere MWs with remarkable  
33 skill. Increased resolution improved validations. Still, all models underrep-  
34 resented observed MW amplitudes, suggesting even at  $\Delta x \approx 3$  km resolution,  
35 small-scale MWs are under-resolved and/or over-diffused. MW drag param-  
36 eterizations are still necessary in NWP models at current operational resolu-  
37 tions of  $\Delta x \approx 10$  km. Upper GW sponge layers in the operationally configured  
38 models significantly, ~~artificially~~ <sup>(?)</sup> reduced MW amplitudes in the upper strato-  
39 sphere and mesosphere. In the IFS, parameterized GW drags compensated  
40 for this effect, but still, total drags were  $\approx 6$  time smaller than that resolved at  
41  $\Delta x \approx 3$  km. Meridionally propagating MWs significantly enhance zonal drag  
42 over the Drake Passage. Interestingly, drag associated with lateral fluxes of  
43 zonal momentum were important; not accounting for these terms produces a  
44 drag at and below the polar night jet maximum with the wrong sign.

13 km is  
one possible  
grid  
configuration,  
but "operatio-  
nally" a  
much finer  
resolution  
over Germany  
is being  
used.  
Maybe  
remove  
"operationally"?

## 1. Introduction

Orographic gravity waves (GWs), or mountain waves (MWs), are internal gravity waves within the atmosphere forced by stratified flow over topography. MW generation is one way the atmosphere exchanges momentum with the Earth. Positive and negative pressure perturbations upstream and downstream of a mountain, respectively, exert a net force by the atmosphere on the mountain. An equal and opposite force is exerted by the mountain on the atmosphere. Often, a mountain wave is generated, which propagates the force by the mountain on the atmosphere upward. This force is ultimately exerted wherever the MW breaks, which can occur from the troposphere to the lower portion of the thermosphere (e.g. Fritts et al. 2016).

MWs have a variety of scales, ranging from  $\lambda_h \approx 10$  km to hundreds of kilometers, depending on the terrain scales that force them. In the recent DEEPWAVE field campaign, aircraft-observed MWs with scales larger and smaller than 50 km were found to be equally important, fluxing similar amounts of momentum (Smith and Kruse 2017). Given the effective resolutions of current numerical weather prediction ( $\approx 60$  km) and climate ( $\approx 400$  km) models, an important portion of the MW spectrum is under- or unresolved and therefore needs to be parameterized. GW drag parameterizations were first formulated in the early 1980s (e.g. Lindzen 1981; Holton 1983), and the addition of MW drag (MWD) parameterizations did improve weather and climate models (e.g. Palmer et al. 1986; McFarlane 1987; Miller et al. 1989) and were widely adopted thereafter. The momentum deposited by MW breaking is important at all levels of the atmosphere, though, its importance with respect to the atmosphere's zonally-averaged momentum budget generally increases with altitude, at least according to MWD parameterizations (e.g. Sato et al. 2018; Yasui et al. 2018). For an overview of the  $\approx 100$ -year history of MW research, see Smith (2019).

maybe cite  
Sonja Gisinger's  
2017 MWR  
paper?

this sounds quite coarse?

?

87 Despite the rich literature and knowledge gained over the last century, current MWD param-  
88 eterizations, and GW drag parameterizations in general, are still highly simplified and not well-  
89 constrained. Most current MWD parameterizations estimate one or two 2-D source MWs based  
90 on sub-grid-scale (SGS) terrain statistics. Then, these 2-D MWs are assumed to propagate strictly  
91 upward instantaneously, as treated by steady 2-D linear theory. The increase in amplitude with de-  
92 creasing density is taken into account. When the 2-D parameterized wave reaches a large enough  
93 amplitude that some instability mechanism (e.g. overturning) is predicted to occur, the parameter-  
94 ized wave amplitude is not allowed to grow in amplitude further (e.g. Lindzen 1981) and is said  
95 to be "saturated." The reduction in amplitude below that predicted by linear theory results in a  
96 reduction of the momentum flux with height, from which the force on the flow is calculated.

97 Many aspects of current MWD parameterizations are inconsistent with current understanding of  
98 MW dynamics and observations. For example, terrain is 3-D and forces 3-D MWs. 3-D MWs  
99 can and do propagate laterally, spreading out with height (e.g. Eckermann et al. 2015). Lateral  
100 propagation spreads out the wave activity, reduces amplitudes, increases breaking heights, and also  
101 spreads out the drag relative to current parameterizations, which propagate MWs only vertically.  
102 Temporal evolution of the ambient wind and MWs can be important (e.g. Chen et al. 2005; Kruse  
103 and Smith 2018) and are also not represented in current parameterizations. Many more deficiencies  
104 could be listed.

105 Additionally, MWD parameterizations are not well constrained by observations. Numerous  
106 datasets collected on numerous platforms do contain MW signals; however, these data are often  
107 infrequent, are sparse, or incompletely sample the MW field (e.g. data from field campaigns,  
108 radiosondes, commercial aircraft). These limitations prevent use of these data to constrain the  
109 inevitable tuning parameters within MWD parameterizations used by global weather prediction  
110 and climate models. Noteworthy possible exceptions are data sets collected by satellite-borne nadir

(x)

(x) Wright et al., ACP, 2017  
discuss lateral propagation/  
wave going into the  
stratospheric jet

we might want to include  
reference to Corwin's and  
Neil Hindley's paper on  
3-D 5-transpan analysis of  
AIRS data (ask Corwin  
for reference)

91 and limb infrared radiometers, which can be sensitive to the temperature perturbations caused by  
92 MWs. Recent efforts (e.g. Alexander et al. 2009; Ern et al. 2017) have developed methods of  
93 using these satellite data to estimate the momentum flux vectors at stratospheric altitudes, which  
94 potentially have the spatial and temporal sampling needed to constrain MWD parameterizations.  
95 However, these sensors have limitations as well, being only able to sense a portion of the horizontal  
96 and vertical spectrum of scales. <sup>X</sup> The inconsistencies of MWD parameterizations with current  
97 physical understanding and their lack of observational constraints motivate additional research.

98 The overall objective of this work is to provide high-quality estimates of mountain wave mo-  
99 mentum fluxes and drags from the troposphere to the mesosphere that are anchored to reality. This  
100 objective is met via a model intercomparison involving four state-of-the-science NWP models  
101 that are validated against stratospheric AIRS observations. With such a collection of NWP model  
102 output, a second route to reality is to evaluate methods of computing MW momentum fluxes from  
103 satellite data via method intercomparison and an observing system simulation experiment (OSSE).  
104 For example, momentum fluxes from synthetic AIRS temperature perturbation "data," computed  
105 as if the AIRS sensor were viewing the modeled atmosphere, can be compared to the true momen-  
106 tum fluxes within the model computed from wind component perturbations. Figure 1 provides an  
107 overview of the two approaches to reality. The model validation and intercomparison is presented  
108 here. The method intercomparison and OSSE are left for a future paper.

109 The NWP models used and the case studied are described in Section 2. The models are validated  
110 by comparing synthetic AIRS "data", computed as if the AIRS sensor were viewing the modeled  
111 atmospheres, with actual AIRS data in Section 3. MW diagnostics are compared between the  
112 participating NWP models in Section 4. In Section 5, a previous version and a current MWD  
113 parameterization within the Community Atmosphere Model (CAM) are compared with each other  
114 and the validated models. The enhancement of zonal drag over the Drake Passage by meridionally

7 (x) Catrin Meyer et al.,  
AMT, 2018 might be  
a nice reference for  
this...

115 propagating MWs from the north and south is presented in Section 6. Finally, a summary is  
116 provided in Section 6.

## 117 2. Case Studied, Participating Models and Their Configurations

### 118 a. Region and Period of Interest

119 The region of focus is centered on the Drake Passage, including the mountains of the Southern  
120 Andes, Antarctic Peninsula, and a handful of islands in between and to the east (e.g. South Geor-  
121 gia). The time period of interest is 9 Oct 2010 through 20 Oct 2010, where many significant MW  
122 events were observed by the Atmospheric Infrared Sounder (AIRS), as shown in Fig. 2.

123 This region is of interest for a number of reasons. The southern Andes mountains are one of  
124 the most significant generators of deeply propagating MWs in the world, and certainly the most  
125 significant such region in the southern hemisphere (e.g. Hoffmann et al. 2013). The Drake Passage  
126 is a region where lateral propagation of these deeply propagating waves into the stratospheric polar  
127 night jet (PNJ) is significant (e.g. Jiang et al. 2013; Hendricks et al. 2014; Amemiya and Sato  
128 2016; Jiang et al. 2019). In a broader context, this region is of interest as many climate models  
129 contain the so called “cold-pole problem” (e.g. Eyring et al. 2010), where lower-stratospheric  
130 polar temperatures are too cold in the southern hemisphere winter and spring, the meridional  
131 temperature gradient is too strong, and (via thermal wind balance) the stratospheric PNJ is also  
132 too strong, all of which have detrimental effects on the simulated climate. McLandress et al.  
133 (2012) hypothesized this problem might be caused by missing GW drag in the stratosphere around  
134 60°S. The sources of these missing GWs and drag in climate models, and whether or not they are  
135 responsible for the cold-pole problem, are still debated. Possible sources include missing MWs  
136 launched from mountainous islands in this latitude belt (e.g. Alexander and Grimsdell 2013), too

remote

{ maybe  
reference  
to  
Nail  
Hindley's  
work?

137 little non-orographic GW activity associated with tropospheric jetstream and frontal imbalances  
138 (e.g. Jewtoukoff et al. 2015), and lateral propagation of MWs into the PNJ (e.g. Amemiya and  
139 Sato 2016).

↪ Initially we selected the region + time period  
for overlap with the Concordiasi campaign.  
We do not use the Concordiasi balloon data here,  
but might still be worth while  
mentioning?

140 *b. Description of AIRS Observations*

141 Infrared radiances measured by the Atmospheric Infrared Sounder (AIRS) (Aumann et al. 2003;  
142 Chahine et al. 2006) on the Aqua satellite are used in this study to evaluate the realism of the  
143 stratospheric gravity wave patterns in the simulations. Aqua was launched in May 2002 into a  
144 nearly polar, sun-synchronous, low earth orbit at 705-km altitude 100° inclination and with a 100  
145 min orbital period. Equator crossings occur at 01:30 (descending) and 13:30 (ascending) local  
146 times. By scanning across-track, AIRS observes 1780-km wide image swaths with 90 across-  
147 track footprints and resolution varying from 14 x 14 km<sup>2</sup> at nadir to 21 x 42 km<sup>2</sup> at the swath edge.  
148 Adjacent scans are separated by 18-km along-track distance. For observing stratospheric gravity  
149 waves, we average channels over three sets of infrared frequencies sensing the dry stratosphere  
150 where clouds, surface emissions, and reflected sunlight do not affect the radiances. Weighting  
151 functions for these channel sets (gray lines) and channel averages (colored lines) are shown in  
152 Fig. 3. Two of the channel sets lie in the 15 μm band and one in the 4.3 μm band (Alexander and  
153 Barnett 2007; Hoffmann et al. 2013, 2017). The vertical width of these weighting functions limit  
154 AIRS sensitivity to gravity waves with vertical wavelengths longer than <sup>about</sup> 15 km, with sensitivity  
155 increasing for longer vertical wavelengths. Radiances in each channel set are averaged to obtain  
156 low-noise brightness temperature data products. Noise varies with scene temperature and channel  
157 frequency, but channel-averaged noise varies from 0.1-0.3 K for October at these high southern  
158 latitudes (Hoffmann et al. 2014).

maybe repeat

(Hoffmann et al., 2017) as  
a reference for 6-th order poly fit.

159 Gravity wave anomalies are derived as the residual from a cross-track polynomial fit to each  
160 scan. Removal of limb-brightening effects is achieved by subtraction of a 4th order polynomial fit  
161 to the higher altitude “15  $\mu\text{m}$  (high)” and 4.3  $\mu\text{m}$  sets, and a 6th order fit to the lower altitude “15  
162  $\mu\text{m}$  (low)” set. Gravity wave brightness temperature anomalies from AIRS at 4.3  $\mu\text{m}$  is shown in  
163 Fig. 2 for all relevant swath segments during the October 9-20, 2010 focus period. Corresponding  
164 swath segments for both 15  $\mu\text{m}$  sets at the same locations are also used in the model validation  
165 presented in Section 3.

### 166 *c. Models and Configurations*

167 Four state-of-the-science NWP models were configured to attempt to reproduce ~~the~~ the MWs  
168 observed by AIRS over the period of interest (Fig. 2). Two regional models (the Weather Research  
169 and Forecasting (WRF) model and the UK Met Office’s Unified Model (UM)) and two global  
170 models (ECMWF’s Integrated Forecast System (IFS) and the German Weather Service’s Icoso-  
171 hedral Nonhydrostatic (ICON) model) were used to reproduce the observed waves. Details of  
172 individual models’ configurations are briefly given below. All model initial conditions and bound-  
173 ary conditions were provided by the operational IFS analyses run at  $\Delta x \approx 16$  km during this 2010  
174 period.

#### 175 1) WRF CONFIGURATION

176 A single  $\Delta x \approx 3$  km domain was configured over the region of interest. The domain was deep,  
177 with 180 vertical levels extending up to 1 Pa ( $z \approx 80$  km). The vertical resolution was varied  
178 with height, with the highest resolution near the surface and gradually reduced to a maximum of  
179  $\Delta z = 600$  m. Model terrain was derived from the 30 arc-second Global Multi-Resolution Terrain  
180 Elevation Data (GMTED) digital elevation model (DEM) (Danielson and Gesch 2011), except for



181 over Antarctica, where the  $\approx 200$  m resolution Radarsat Antarctic Mapping Project, Version 2  
182 (RAMP2) DEM was used (Liu et al. 2015). The model was initialized once at 12 UTC on 8 Oct  
183 2010 and then integrated for 11 days. During the integration, only boundary conditions from the  
184 6-hourly operational IFS analyses guided the simulated atmosphere. No interior nudging was used  
185 within the 3900 km by 3900 km domain. The WRF model (version 4.1.0) required modification to  
186 stably integrate beyond a handful of time steps with a domain top this high. For a brief description  
187 of these modifications, see Appendix A.

*→ maybe add a sentence  
about the sponge layer  
at the model top?*

## 188 2) UM CONFIGURATION

189 A global UM simulation, with a grid-spacing of  $\Delta x \approx 16$  km and with 85 vertical levels extend-  
190 ing to  $z = 85$  km initialized every 24 hours from IFS analyses, was used to provide the boundary  
191 conditions to a 3000 km by 3000 km regional simulation with a grid-length of  $\Delta x \approx 3$  km over the  
192 Drake Passage region. Both the global and regional domains were run with UM version 11.1 and  
193 used the Global Atmosphere 6 (Walters et al. 2017) and the Regional Atmosphere 1 (Bush et al.  
194 2020) physics configurations, respectively. The  $\Delta x \approx 3$  km UM nest was initialized once at 12  
195 UTC on 8 August 2010 and run continuously for 12 days, guided by hourly boundary conditions  
196 from the periodically reinitialized global run. The regional UM used a rotated latitude longitude  
197 grid, such that the grid-spacing is approximately equal throughout the domain. The vertical res-  
198 olution was significantly increased within the stratosphere compared with the global simulations,  
199 employing 242 vertical levels up to 85 km.

200 Similar to the regional WRF simulations, the regional model orography is generated from a  
201 blend between the 30 arc-second GMTED DEM and a 1 km version of the RAMP2 DEM was  
202 used. No filtering was performed on the mean orography and a weak implicit Rayleigh damping  
203 of the vertical winds (similar to Klemp et al. 2008) is applied from  $z \approx 60$  km upward. The

## 4. Model Intercomparison

### a. Intercomparison of MW Forcing

for validation

In the previous section, the models were primarily compared to AIRS observations. Here, the simulations from the different models are compared to one another within the three black-boxed regions in Fig. 7. Prior to all analyses here, fields from each model were regridded onto the WRF grid. Perturbation fields were then computed via the spectral filtering method of Kruse and Smith (2015) on the same WRF grid (except for Figs. 7 and 15, where fields were high-pass filtered on the native UM grid to make use of its larger domain), retaining scales smaller than 500 km. Then, fields were further regridded onto regular latitude, longitude grids within each sub-domain, with grids being  $\Delta x \approx 3$  km at the northern boundaries. The Southern Andes, Antarctic Peninsula, and South Georgia regions are denoted by “SA”, “AP”, and “SG”. The 10-day average absolute temperature perturbations at  $z = 40$  km in the UM simulation are color shaded in Fig. 7, overviewing the regional MW hot spots and lateral propagation of these MWs in the upper stratosphere.

Terrain spectra and slope distributions within each model and sub-domain are compared in Fig. 8. In all sub-domains, all model terrain spectra agree very well at scales larger than 100 km ( $k < 10^{-2}$ ). All models largely follow the expected -2 slope. The notable drop offs of spectra from this slope, and from spectra of the higher-resolution models, give indication of the effective terrain resolution within each model. The ICON model, which has the coarsest grid resolution ( $\Delta x \approx 13$  km), has the coarsest effective terrain resolution as well. The IFS has a slightly higher effective terrain resolution, most easily diagnosed by the precipitous drop off its terrain spectra.

The local maxima at smaller scales in the IFS spectra <sup>are</sup> spurious. The terrain is represented spectrally, with scales smaller than  $4\Delta x \approx 36$  km removed. However, IFS terrain were provided on a

365 TCo2559 grid. The spectral synthesis onto the higher-resolution grid introduces the small-scale  
 366 noise in the terrain field. The WRF and UM models have the same grid resolution ( $\Delta x \approx 3$  km).  
 367 However, they clearly have different effective terrain resolutions, with WRF smoothing the the  
 368 grid-mean orography while UM did not. The slope distributions are largely consistent with the  
 369 spectra.

370 The subdomain-averaged 10-m zonal wind time series in each simulation are shown in Fig. 9,  
 371 along with the subdomain-averaged 10-m winds in the IFS analyses used to for initial and/or  
 372 boundary conditions in all models. These time series of winds that largely force the MWs seen  
 373 aloft show that despite the different ways each model incorporated IFS analyses (see Section 2c  
 374 for details) and domain sizes, the models largely agree on these low-level winds and do not drift  
 375 too far from the IFS analyses, at least over the SA and AP domains. Larger differences are notable  
 376 in the smaller, more remote SG domain to the east.

### 377 *b. Overview of Period of Interest*

378 An overview of the 10-day period of interest is provided in Fig. 10, where subdomain-average  
 379 zonal and meridional winds, vertical fluxes of zonal momentum, and zonal MWD are shown for  
 380 each subdomain. Here, the vertical fluxes of horizontal momentum are defined by

$$\begin{aligned} MF_{zx} &= \overline{\rho u'w'}, \\ MF_{zy} &= \overline{\rho v'w'}, \end{aligned} \quad (1)$$

381 and MWDs as

$$\begin{aligned} MWD_{zx} &= -\frac{1}{\rho} \frac{\partial MF_{zx}}{\partial z}, \\ MWD_{zy} &= -\frac{1}{\rho} \frac{\partial MF_{zy}}{\partial z}. \end{aligned} \quad (2)$$

404 GW-absorbing upper sponges, beginning at  $z \approx 40$  km (i.e. 1 hPa) and  $z = 44$  km in the IFS and  
405 ICON runs, respectively.

406 The salient feature in the meridional drag profiles is the reversal of meridional drag, with  
407 southward drag occurring at  $z \approx 45$  km, with northward drag further aloft (Figs. 12-13). This  
408 may be a result of the strong terrain anisotropy of South Georgia Island, where the predominantly  
409 westerly low-level winds impinging on the northwest-to-southeast-oriented terrain would tend to  
410 launch southward-propagating MWs with larger amplitudes than those propagating northward.  
411 This asymmetry would result in southward drag being deposited at lower altitudes.

412 The x-y-time-averaged profiles in Fig. 13 allow more quantitative comparison between the re-  
413 solved momentum fluxes and drags over the period of interest. Again, resolved fluxes and drags  
414 in the  $\Delta x \approx 3$  km ( $\Delta x \approx (10\text{km})$ ) models. Overall, fluxes and drags in the  $O(10\text{km})$  NWP models  
415 are significantly less than the  $\Delta x \approx 3$  km limited-area domains. For example, lower-stratospheric  
416 resolved zonal momentum fluxes ( $MF_{zx}$ ) in the NWP models are  $\approx 2$  times smaller than higher-  
417 resolution limited area models (LAMs) over the SA and AP subdomains, and  $\approx 3$  times smaller in  
418 the SG subdomain. Significant disagreement is apparent in the net meridional momentum fluxes,  
419 where different resolutions produce different signs of net flux in the troposphere and lower strato-  
420 sphere!

421 Evaluating the effect of resolution on drag is more difficult here, due to the strong GW-damping  
422 upper sponges applied in the global models. Despite significantly smaller fluxes into the strato-  
423 sphere in the global models, the resolved drags are more comparable to the limited-area models  
424 at and below  $z \approx 45$  km. It is unclear if the drags in the upper stratosphere are due to model  
425 dynamics and physics or sponge damping. Further aloft, the upper sponges in the global models  
426 have clearly reduced resolved drags, particularly in the IFS output. In the IFS, the orographic and  
427 non-orographic GWD parameterizations were active and do compensate for this lack of resolved

428 drag, but still, the total (resolved + parameterized GWD) in the IFS is  $\approx 6$  times smaller than the  
429 LAMs in the mesosphere. Sponge or no sponge, MWD parameterizations are still necessary even  
430 at the current operational NWP resolutions of  $\Delta x \approx 10$  km.

↪ For SG, it might be good to reference some of more recent modelling/observational papers here (or in the introduction): Hindley et al., ACP, 2021; Jackson et al., GMS, 2018  
431 d. Model Intercomparison of MW Spectra (and papers of Simon Vosper?)

432 The analyses presented in the previous subsection show the area net momentum fluxes across  
433 the entire MW spectrum. However, broad-spectrum orography forces a broad-spectrum of MWs.  
434 The momentum flux and MWD co-spectra are presented in Fig. 14 at selected altitudes within  
435 each subdomain. These spectra were computed by using 2-D FFTs on the subdomain lat/lon  
436 grids to compute 2-D  $MF_{zx}$  cospectra. These 2-D cospectra were then binned by wavenumber  
437 magnitude into 1-D cospectra. These 1-D MF cospectra are plotted such that the area-integral  
438 in the spectral space plotted is proportional to the area-averaged fluxes in physical space (e.g.  
439  $\frac{1}{A} \int_{-\infty}^{\infty} \frac{\partial MF_{zx}}{\partial \ln \lambda} \partial \ln \lambda = \frac{1}{A} \iint \bar{\rho} u' w' dx dy$ ). The drag co-spectra are computed by taking the vertical  
440 derivative of the  $MF_{zx}$  co-spectra at  $\pm 5$  km from the altitudes indicated in the panels. These  
441 panels show what scales are attenuated and responsible for depositing drag on 10-km depths of  
442 atmosphere at the selected levels.

443 The comparison of momentum flux and drag spectra in Fig. 14 highlights the breadth of the  
444 flux-carrying MW spectrum and how much of this spectrum is not resolved even at the relatively  
445 high  $\approx 10$ -km resolution global models. The smaller-scales resolved in the LAMs contribute  
446 significantly to the total fluxes over all altitudes and sub-regions shown. Generally, fluxes in  
447 the coarser NWP models are smaller than in the LAMs. Curiously, fluxes at larger scales are  
448 occasionally larger in the coarser NWP models, compensating for the lack of flux at smaller scales  
449 somewhat.

472 The previous parameterization is relatively simple, and based on the McFarlane (1987) scheme  
473 (for details, see Neale et al. 2010). This parameterization ~~is~~ uses an isotropic source formulation,  
474 specifying the source MWs and MF to be parallel and opposite to the source-level flow. Source  
475 wave amplitudes are specified as twice the standard deviation of sub-grid-scale (SGS) orography,  
476 unless this wave amplitudes is predicted to overturn, in which case the source amplitude is reduced  
477 to the saturation amplitude.

478 The new parameterization, currently implemented in CAM6, varies significantly in how the  
479 SGS terrain is represented and how the source MWs are specified. It fits 2-D ridges to the SGS  
480 terrain, finding dominant ridges, along with their widths, lengths, heights, and orientations, in the  
481 SGS terrain data. This method allows more realistic, larger ridge heights to be used in estimating  
482 source MW amplitudes and allows terrain orientation and anisotropy to be taken into account.  
483 Further details are provided in Appendix B.

484 Parameterized momentum fluxes and drags are quantitatively compared against those resolved  
485 in the four high-resolution models presented here in Figs. 11-13. Both the zonal and meridional  
486 components of momentum flux and drag are improved, though not perfectly, in the new parame-  
487 terization. The zonal momentum fluxes and drags are much lower in the old parameterization (cf.  
488 Figs. 11 i, k and j, l, respectively). The enhancement in  $MF_{zx}$  by the new parameterization is likely  
489 due to larger source amplitudes being specified and produce a better comparison to WRF and UM.  
490 More striking differences, and improvements, are seen in the meridional components (Fig. 12).  
491 The previous isotropic parameterization produces nearly zero meridional fluxes and drags, as the  
492 source-level winds are primarily westerly. However, the new parameterization accounts for the  
493 strong northwest-southeast terrain orientation, producing southward momentum fluxes and drags  
494 aloft. While these meridional drags compare much better with WRF and UM, the southward drag  
495 does appear a little low and the northward drags noted further aloft in WRF and UM are non-

496 existent. Overall, the new parameterization is a significant physical and quantitative improvement  
497 over the previous one, there is still much room for improvement. ?

although

Hoffmann et al., ACP,  
2016 might be another  
reference for SH orogr. GW

hot spots  
(islands  
Andes,  
...)

## 498 6. Meridional Propagation of MWs and Drag

499 A primary motivation for studying the Drake Passage region was the previous work pointing to  
500 missing stratospheric GWD near 60°S (McLandress et al. 2012) and the density of stratospheric  
501 MW hotspots (e.g. Hoffmann et al. 2013) in this region. The source of this missing drag is not  
502 settled, with good hypotheses including under, or no, representation of MWs and drag by small  
503 islands, non-orographic GWs, and lateral (i.e. meridional) propagation of MWs into these latitudes  
504 of interest.

505 While the orographic sources in the Drake Passage region are not at 60°S, MWs laterally-  
506 launched by 3-D terrain and meridional refraction of MWs by the deep meridional shear of zonal  
507 wind on the flanks of the polar night jet (e.g. Jiang et al. 2013, 2019) both contribute to the pres-  
508 ence of GWs over and east of the Drake Passage (e.g. Fig. 7). Still, it is unclear how much zonal  
509 momentum is fluxed by these meridionally-propagating waves and whether the resulting zonal  
510 drags are significant.

511 Here, the 3-D fluxes of zonal momentum and the influences of the time- and zonal-mean zonal  
512 wind were computed within the UM model. These quantities were computed within the two red  
513 boxes in Fig. 7, one upstream and one over and downstream of the Drake Passage. The 3-D zonal  
514 MF vector,

$$\mathbf{MF}_x = \langle MF_{xx} = \bar{\rho} \overline{u'^2}, MF_{yx} = \bar{\rho} \overline{u'v'}, MF_{zx} = \bar{\rho} \overline{u'w'} \rangle, \quad (3)$$

515 the influences on the zonal-mean zonal winds by each flux component,

530 Fig. 15c) more than compensates the acceleration at and below the PNJ max, resulting a net drag  
531 on the PNJ ( $MWD_{tot}$ , Fig. 15d).

532 To summarize, meridional propagation of MWs does significantly increase zonal momentum  
533 fluxes and drag by a factor of 2-4 over the Drake Passage. The meridional divergence of meridional  
534 flux of zonal momentum term was actually important in the total impact of the small-scales on  
535 the large-scale zonal flow at and below the PNJ max. In fact, if only the vertical divergence of  
536 vertical flux of zonal momentum were considered, as is conventionally done, the sign of the drag  
537 on the PNJ max would be incorrect! These results motivate further research and parameterization  
538 development considering lateral propagation of MWs and suggest that lateral momentum fluxes  
539 and resulting drags may need to be considered.

## 540 7. Summary and Conclusions

541 In this article, four state-of-the-science NWP models were used to recreate the atmosphere  
542 around the Drake Passage during the 10-20 October 2010 period, where numerous MW events  
543 were observed by AIRS within the period. The ICON and IFS models were run at  $\approx 13$  km and  
544  $\approx 9$  km resolution in their operational configurations. The WRF and UM models were run in a  
545 regional configuration at  $\Delta x = 3$  km resolution. All models were run with a model top near  $z = 80$   
546 km in order to contain the entire life-cycle of the MWs (i.e. from generation to dissipation) and  
547 to allow quantitative comparison with AIRS observations. These deep domains allowed most of  
548 the AIRS instrument weighting functions for the selected channels to be entirely contained within  
549 the domains and be largely unaffected by the necessary upper sponge layers, at least in the WRF  
550 and UM domains. Viewing geometries, observation times, and radiative transfers for the AIRS  
551 channels/wavelengths used were all taken into account.

to make this comparison /  
evaluation as accurate / exact  
as possible.



maybe "excellent"?  
good to

552 The model validation showed that all models had, overall, remarkable skill at reproducing the  
553 AIRS-observed MWs within the middle atmosphere. This was remarkable, given the numerous  
554 differences between the models (e.g. different dynamical cores, parameterizations, grids, initial-  
555 izations, boundary condition methods) and the deep vertical distances the MWs had to propagate  
556 in order to be observed by AIRS. Still, the validations were not perfect. All models appeared to  
557 have the most skill reproducing MWs from the Andes, which had the largest scale, and best re-  
558 solved, orography. At the other end of the orographic spectrum, the largest differences between  
559 the models and between models and observations occurred for MWs generated by South Georgia  
560 Island. Here, it was clear the coarser resolution global NWP models struggled. Despite remark-  
561 able qualitative validations, all models under-represented observed MW amplitudes, even after  
562 accounting for effective model resolutions and instrument noise, suggesting even at  $\Delta x \approx 3$  km,  
563 models still under-resolve and/or over-diffuse MWs.

to some extent

It is a bit surprising that even 3-4 km runs underestimate wave amplitudes, I think. For example, Orr et al. (2015) found wave amplitudes are about

564 Resolved momentum fluxes and drags, averaged over the Southern Andes, Antarctic Peninsula,  
565 and South Georgia subdomains, were also intercompared between the four models in detail. The  
566 IFS and ICON models, run globally at current operational resolutions of  $\Delta x \approx 10$  km, generally had  
567 significantly less momentum fluxes and drags than the  $\Delta x = 3$  km resolution WRF and UM output.  
568 The upper sponges in both the IFS and ICON models had clearly significantly and artificially  
569 reduced fluxes and drags in the upper stratosphere and mesosphere. While the orographic and  
570 non-orographic GWD parameterizations compensated for this reduction somewhat, the total drag  
571 was still  $\approx 6$  times smaller than that resolved by the  $\Delta x = 3$  km models.

right for a 4 km UM run. it may depend on the case, scales of the waves.

572 The intercomparison of time-averaged zonal momentum flux and drag cospectra highlighted  
573 the breadth of the flux-carrying MW spectrum and how much of the spectrum these  $\approx 10$ -km  
574 global models are missing relative to the  $\approx 3$ -km regional models. These model spectra show  
575 the continued need for MW drag parameterizations at current operational NWP resolutions. The

*[Handwritten signature]*

576 systematic differences in MW momentum fluxes at small, grid-scale resolutions between WRF and  
577 UM, presumably due to different numerical diffusions, also suggest the entire flux-carrying MW  
578 spectrum is not yet resolved even at  $\approx 3$ -km resolution. These results motivate spectral approaches  
579 for MW drag parameterizations (e.g. van Niekerk and Vosper 2021).

580 A significant motivation for this research was to evaluate and ultimately improve MW drag  
581 parameterizations. The previous and current MW drag parameterizations in CAM were compared  
582 with each other and against the MW-resolving models via CAM runs continuously nudged to  
583 the ERA-Interim reanalysis. The current parameterization, which better represents SGS terrain  
584 heights and accounts for terrain anisotropy, does seem to be a significant improvement over the  
585 previous parameterization, particularly for components of drag perpendicular to the forcing flow.  
586 Still, there is clearly lots of room for improvement; there is too much parameterized drag at too  
587 low an altitude and too little further aloft. An interesting feature in the 3-km models was the  
588 reversal of meridional MW drag above South Georgia Island. The parameterization did have a  
589 representation of the expected southward MW drag, but did not represent resolved drag reversal.  
590 If the resolved drag reversal is due to southward-propagating MWs being larger and breaking  
591 lower than those coming off the northern <sup>?</sup>slow of South Georgia, then this result further motivates  
592 a spectral approach to MW drag parameterization.

593 Finally, a brief analysis of how meridional propagation of MWs over the Drake Passage influence  
594 zonal winds was presented. Meridionally-propagating MWs enhanced the vertical fluxes of zonal  
595 momentum over the Drake Passage by about a factor of four. Zonal GWDs were enhanced by  
596 a factor of 2-4 over the Drake Passage. An interesting result from this analysis was that the  
597 meridional divergence of the meridional flux of zonal momentum was important, particularly at  
598 and below the PNJ max. In fact, here this term is larger than and opposite to the drag inferred  
599 from the vertical divergence of vertical flux of zonal momentum, which is typically how GWD is

600 defined and computed. Not accounting for this term would result in the wrong direction of drag  
601 being inferred. This result suggests parameterizations that do account for lateral propagation may  
602 need to also account for lateral fluxes of horizontal momentum.

603 *Acknowledgments.* Numerous institutions and funding agencies supported this international col-  
604 laborative work. Support was provided by the International Space Science Institute (ISSI), the  
605 Stratosphere-troposphere Processes And their Role in Climate (SPARC) project, and by the Ad-  
606 vanced Study Program postdoctoral fellowship at the National Center for Atmospheric Research  
607 (NCAR), which is a major facility sponsored by the National Science Foundation under Cooper-  
608 ative Agreement No. 1852977. High-performance computing was performed on the Cheyenne  
609 supercomputer (ark:/85065/d7wd3xhc) with support provided by NCAR's Computational and In-  
610 formation systems Laboratory, also sponsored by the National Science Foundation. Additionally,  
611 this work used JASMIN, the UK collaborative data analysis facility. Finally, David Gill, Jimy Dud-  
612 hia, Jordan Powers, Kevin Manning, and Joe Klemp, all within MMM at NCAR, were essential in  
613 getting WRF to run in the deep configuration presented here.

and the super-compute  
JUWELS at rsea  
Juelich research centre.

## 614 APPENDIX A

### 615 Deep WRF Configuration

616 Through many failed attempts, it was learned that WRF, as distributed, cannot be run deeper  
617 than  $\approx 1$  hPa ( $z \approx 45$  km) for more than a handful of time steps in the realistic configuration.  
618 Much trial and error revealed three issues that had to be overcome.

619 The first issue was that the default, high-order horizontal interpolators produced intersecting  
620 vertical levels after interpolation. David Gill realized that these interpolators are not monotonic,  
621 occasionally allowing interpolated values above or below the nearest four analysis points in regions

622 of complex terrain. This caused an intersection of the tightly-spaced vertical levels of the IFS  
623 analysis. Using only four point, monotonic horizontal interpolators prevented this issue.

624 The second issue was that the vertical interpolation fails at and above  $\approx 35$  km. This is due to  
625 legacy if logic that threw out analysis levels that were too close in pressure. Setting the namelist  
626 parameter “zap\_close\_levels” to 0.1 Pa, from 500 Pa, prevented this problem.

627 The final issue that prevented stable integrations with a deep domain was instability in the lateral  
628 relaxation zone that blends interior resolved fields with analyses at the lateral boundaries. This in-  
629 stability, often 2 or 3 grid points from ~~from~~ the boundary, would cause the solution to blow up  
630 in  $\approx 20$  time steps or less whether or not there was terrain in the domain. Removing the lateral  
631 relaxation zone by setting “spec\_bdy\_width” to 1 and modifying WRF’s existing nudging code to  
632 increasingly nudge WRF’s lateral boundaries to the same analysis used for boundary conditions  
633 allowed WRF to run stably up to 1 Pa ( $z \approx 80$  km). This modification to the nudging code essen-  
634 tially serves the same purpose as the default relaxation zone. Why this modification worked and  
635 the default lateral relaxation is an unsolved puzzle. Still, this configuration produced very skillful  
636 results, as presented above.

## 637 APPENDIX B

### 638 Overview of current MWD parameterization in CAM

639 The orographic gravity wave (OGW) drag scheme in CAM6 has been modified in two ways from  
640 that used in earlier versions of the model (i.e. McFarlane 1987). First, additional drag from flow  
641 blocking and high-drag configurations has been incorporated following the approach of Scinocca  
642 and McFarlane (2000) (SM2000). Second, the generation of subgrid scale (SGS) forcing data for  
643 OGW has been substantially modified from that used in earlier versions of CAM. OGW orientation

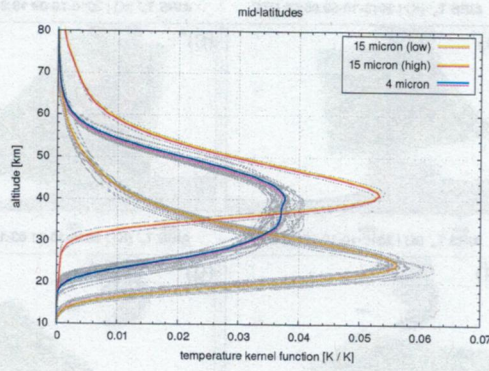
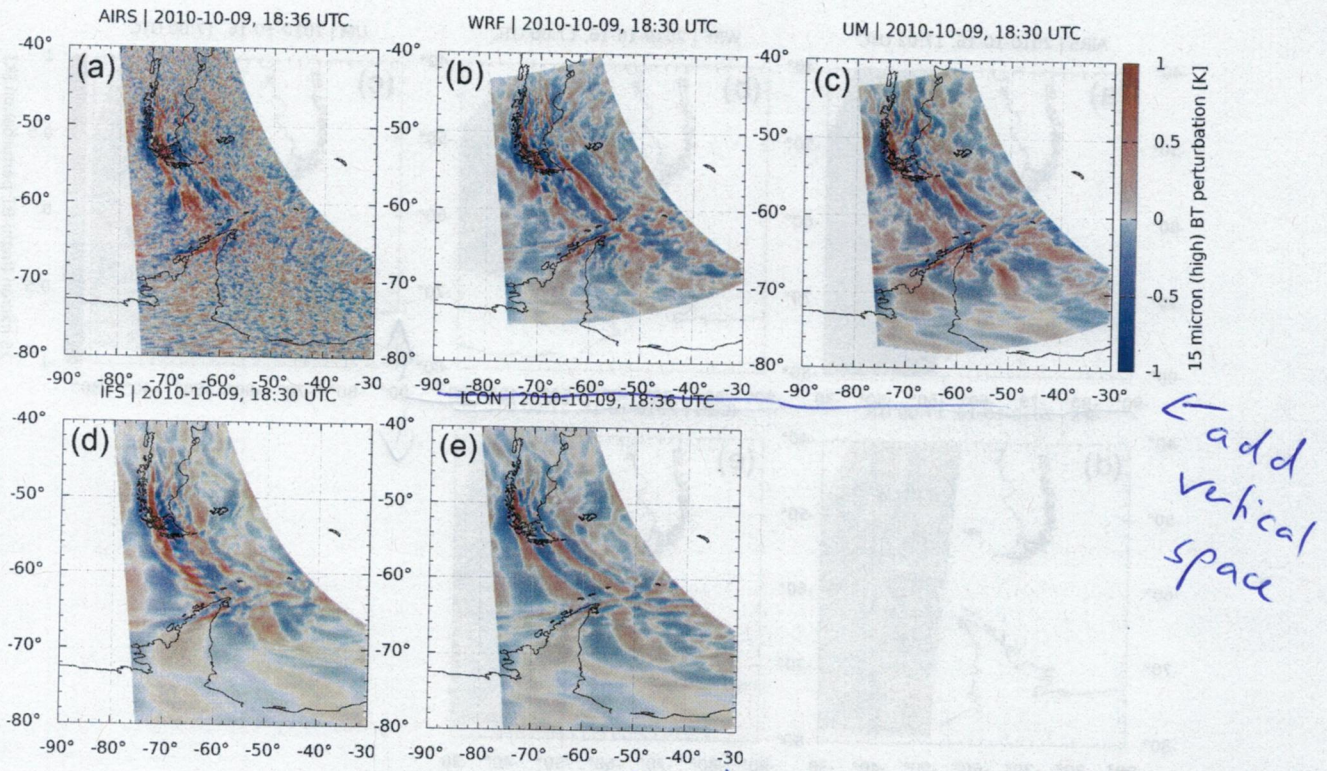


FIG. 3. Individual and average AIRS instrument weighting functions for midlatitude winter.

for different channel sets of the instrument (see plot key/legend).



934 FIG. 4. A qualitative comparison of observed and modeled 15- $\mu\text{m}$ -high ( $z \approx 40$  km) AIRS brightness tem-  
 935 perature perturbations. In this comparison, all models were remarkably skillful in reproducing the observed  
 936 middle-atmosphere mountain waves.

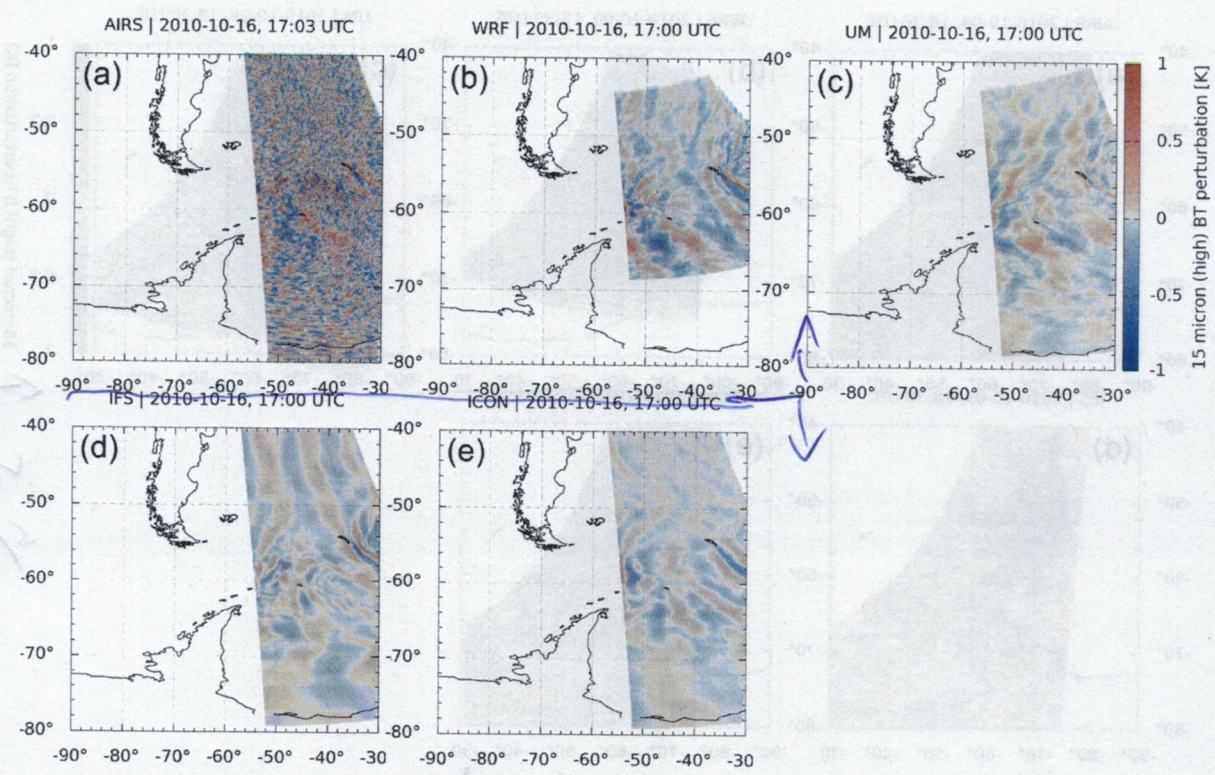
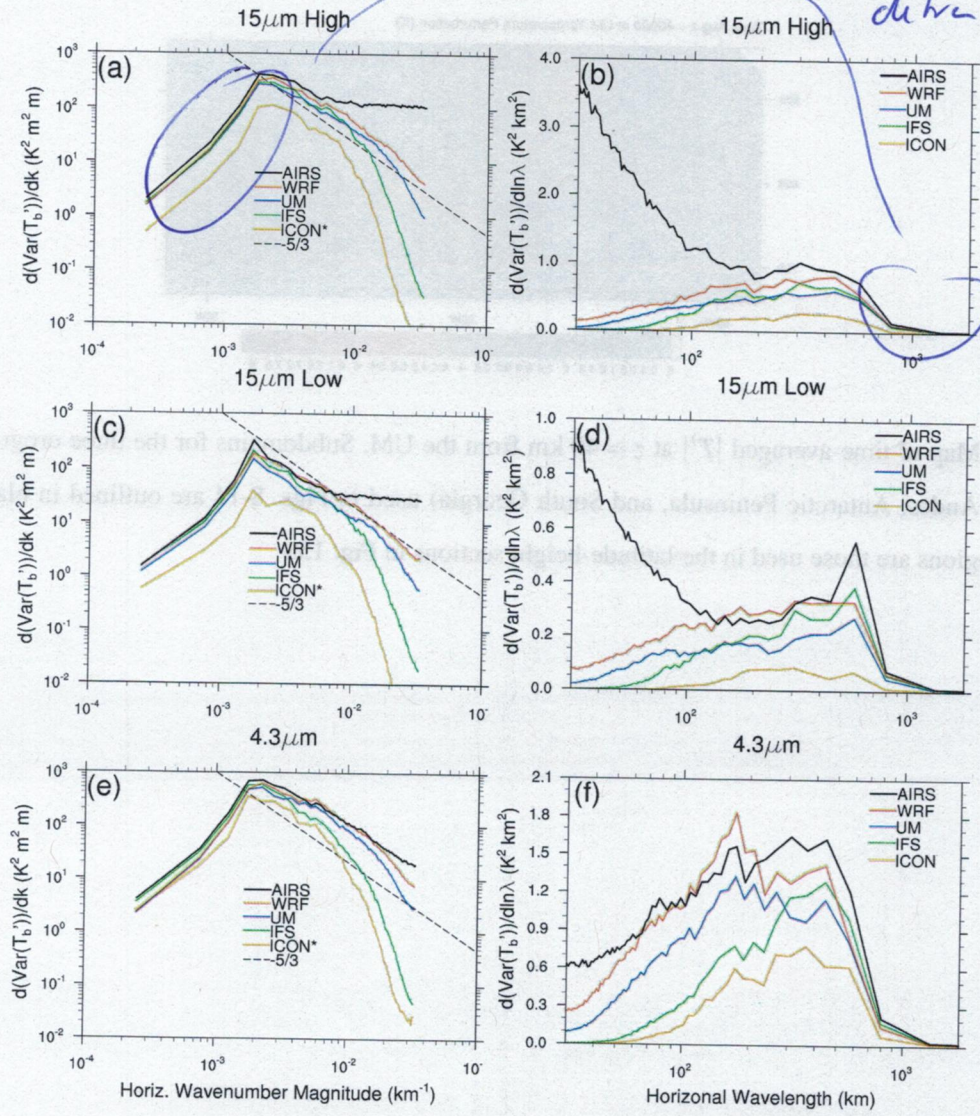


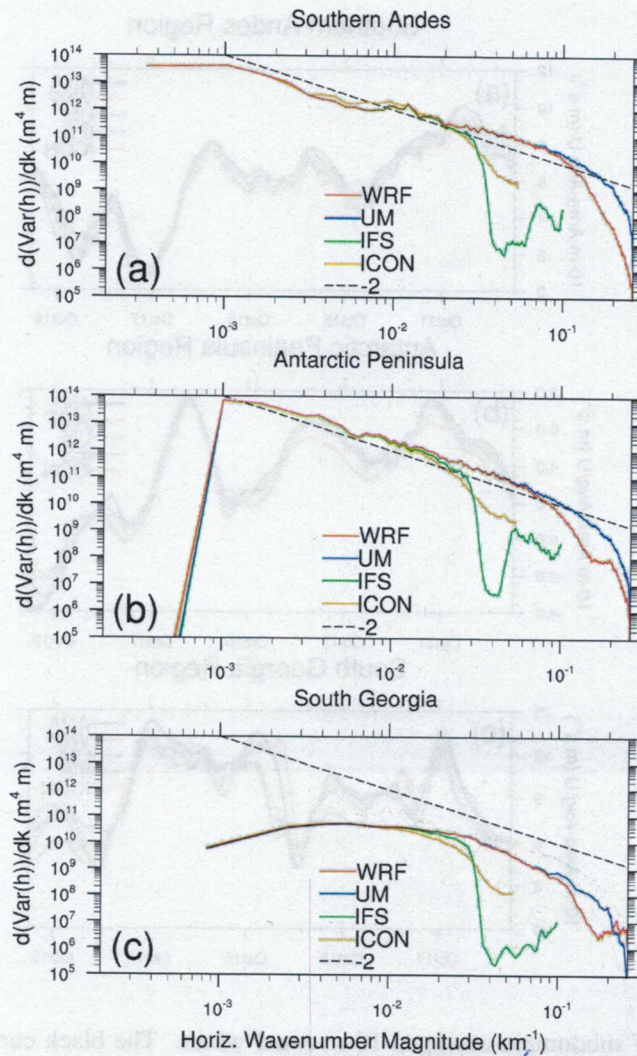
FIG. 5. Same as Fig. 4, but for an overpass where the models had moderate skill.

This small-frequency / large wavelength cut-off is due to the polynomial fit detrending method?



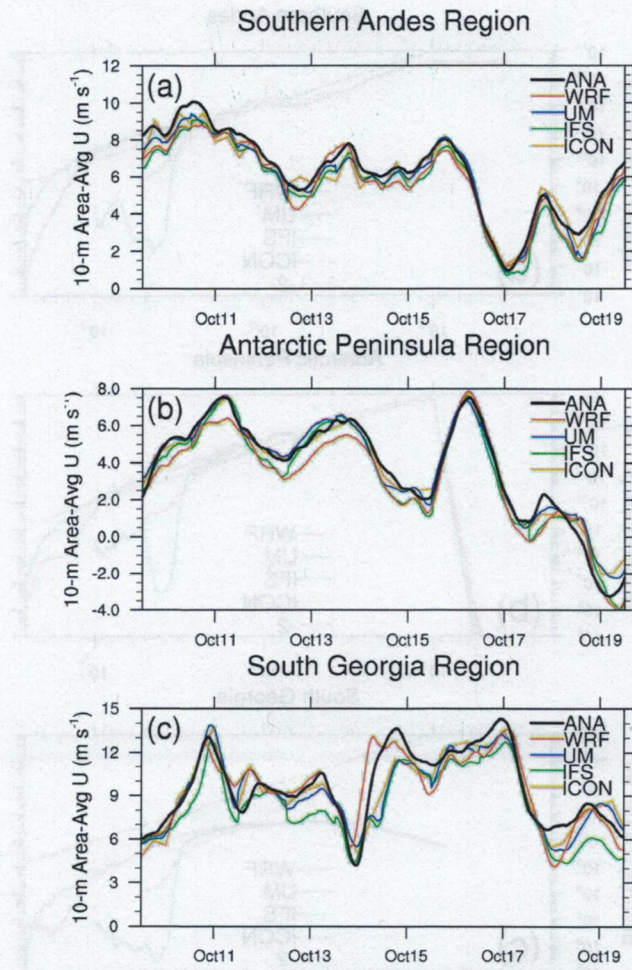
937 FIG. 6. Two-dimensional spectra binned into 1-D spectra for each overpass, then summed up over all over-  
 938 passes plotted in two ways. Area under the curves in (b), (d), and (f) are proportional to area-integrated  $T'_b$  in  
 939 overpasses in Fig. 2, and further summed over all overpasses (i.e.  $\propto \sum_{N_{op}} Var(T'_b)$ , where  $Var(T'_b) = \iint T'_b dx dy$   
 940 and  $N_{op}$  is the number of overpasses).





of terrain height (?)

944 FIG. 8. 2-D FFT spectra binned by wavenumber for each subdomain for the four participating models. Prior  
 945 to analysis, terrains of all models were regridded onto a common lat/lon grid with a resolution of  $\approx 3$  km. Note  
 946 that  $Var(h) = \iint h^2 dx dy$ .



947 FIG. 9. Comparison of subdomain-averaged 10-m zonal winds. The black curve labeled “ANA” shows the  
 948 subdomain-averaged 10-m winds in the operational IFS analyses used to force all models.

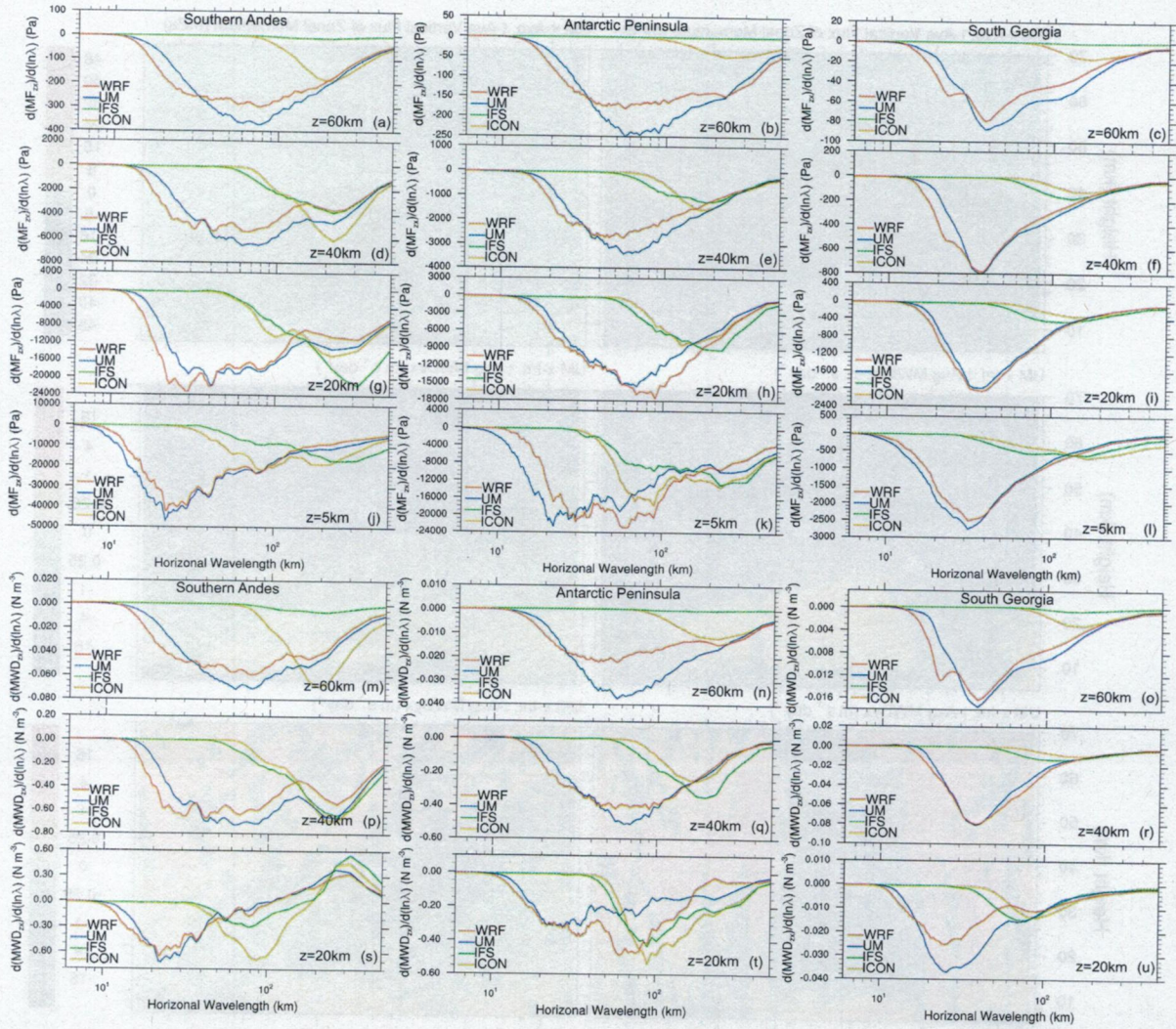


FIG. 14. Time-averaged zonal MF and MWD spectra intercomparison. MWD spectra differenced over  $\pm 5$  km.